GAU 216 LA

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 28, 2001.

Diane S. Zynn

RECEIVED

APR x 5 2001

Applicant

Craig L. Ogg

Application No.:

09/690,796

Filed

: October 17, 2000

Title

SECURE AND RECOVERABLE DATABASE FOR

ON-LINE VALUE-BEARING ITEM SYSTEM

Grp./Div.

2161

Examiner

To be assigned

Docket No.

39477/SAH/S850

Technology Center 2100

RECEIVED

MAY 4 - 2

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 March 28, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Rv

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/mee

Enclosures: PTO-1449, w/18 references

DSZ PAS337514.1-*-3/27/01 4:12 PM

M PTC 1449	Attorney Docket Number	39477/RRT/S850	
INFORMATION DISCLOSURE	Application Number	09/690,796 RECE	
INFORMACION DISCLOSURE	Filing Date	October 17, 2000 APR X	
STATEMENT BY APPLICANT	Applicant(s)	Choia I Oaa	
	Group Art Unit	2161	
(use as many sheets as necessary)	Examiner Name	To be assigned	

U.S. PATENT DOCUMENTS							
EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,725,718	02/1988	Sansone et al.	235	495	MECA	
-	4,743,747	05/1988	Fougere et al.	235	494	IF APPROPRIATE MAY 204	
	4,812,994	03/1989	Taylor et al.	364	464.02	Technology Center 21	
	4,853,865	08/1989	Sansone et al.	364	464.02	"I'Ulogy Cent	
	4,933,849	06/1990	Connell et al.	364	400	,015	
	5,142,577	08/1992	Pastor	380	21		
	5,341,505	08/1994	Whitehouse	395	800		
	5,666,421	09/1997	Pastor et al.	380	51		
	5,793,867	08/1998	Cordery et al.	380	4		
	5,801,944	09/1998	Kara	364	464.2		
	5,825,893	10/1998	Kara	380	51		
	5,953,427	09/1999	Cordery et al.	380	51		
	5,987,441	11/1999	Lee et al.	705	401		
	5,988,897	11/1999	Pierce et al.	400	61		
	6,005,945	12/1999	Whitehouse	380	51		
	6,026,385	02/2000	Harvey et al.	705	408		
	6,061,671	05/2000	Baker et al.	705	404	٠,	
·= .	6,064,993	05/2000	Ryan, Jr.	705	403		

EXAMINER SIGNATURE	DATE CONSIDERED	
		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

OE JCIGO

RRT/mee

DSZ PAS337528.1-*-3/27/01 4:09 PM